

Page 1 of 2

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS Alexandra, Vignus 22313-1450 www.upplu.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/768,975

01/30/2004

Shohei Chida

3335-00012

26753 ANDRUS, SCEALES, STARKE & SAWALL, LLP 100 EAST WISCONSIN AVENUE, SUITE 1100 MILWAUKEE, WI 53202

CONFIRMATION NO. 4526 FORMALITIES LETTER *OC000000012948282*

Date Mailed: 06/15/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/19/2004 FFANAIA2 00000015 10768975

04 FC:1201

770.00 OP 01 FC:1001 02 FC:1051 03 FC:1053 130.00 OP 130.00 DP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

344.00 OP

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- o Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

e application is informal since it does not comply with the regulations for the reason(s) indicated below.

required item(s) identified below must be timely submitted to avoid abandonment:

Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because: submitted are not acceptable because:

-08/19/2004 FFANAIA2 00000015 10768975

01-FCc1001 FC:1051

770.00-0P

(D3FC:12053

■ The drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) (see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1)). See Figure(s) 1-20.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1030 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- \$130 for English translation surcharge required.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Please type a plus sign	n (+) itişide tris bo	X [T]				J.S. Department of Commerce			
0001/PTO	ent of Comme	Application Number		10/768,975					
0001/PTO U.S. Department of Commerce Rev. 10/95 Patent and Trademark Office				Filing Date		January 30, 2004			
				First Named Inventor		Shohei Chida			
TRAN		Group Art Unit							
(to be used for all o			Examiner Name						
Total Number of pages in this Submi		ission 2	ion 20+ Attorney Docke		t Number	3335-00012			
ENCLOSURES (check all that apply)									
☑ Fee Transmittal Form☑ Fee Attached		☐ Assignment Pa (for an Applicat				llowance Communication hnology Group			
		☐ Drawing(s)			☐ Appeal Communication to Boar Of Appeals and Interferences				
☐ Affidavits/declaration(s)		☐ Licensing-related Papers		☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)					
☐ Extension of Time Request		☐ Petition							
☐ Express Abandonment Request		☐ Petition to Convert a Provisional Application			☐ Proprietary Information				
☐ Information Disclosure		□ Power of Attorney, Revocation, Change of Correspondence Address □ Terminal Disclaimer □ Request for Refund □ CD, Number of CD(s)		☐ Status Letter					
Statement/PTO-1449 ☐ Certified Copy of Priority Document(s) ☑ Response to Missing Parts/ ☐ Incomplete Application ☑ Response to Missing Parts Under 37 1.52 or 1.53						Enclosure(s) (please y below)			
				☑ RETURN RECEIPT					
				POSTCARD SUBSTITUTE SPECIFICATION					
				of CD(s)	M SUBSTITUTE SPECIFICATION				
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		Remarks		<u></u>					
			ICAP	IT, ATTORNEY,	OR AGEN	T T			
Firm	Daniel D. Fetter	ley							
Or	ANDRUS, SCE								
Individual Name	100 East Wisco	nsin Avenue,	Suite	1100, Milwauke	e, WI 5320	02			
Signature	1 1 1 1 - A								
Date	alulas								
		CERTIFI	CATI	OF MAILING					
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Mail Stop - Missing Parts - Fee, P.O. Box 1450, Alexandria, VA 22313-1450 on the day of August, 2004.									
Typed or printed name Daniel D. Fetterley									
0 10 1 11									
Signature Daniel D. Fitterlan Date 8/16/04									

Patent and Trademark Office: U.S. Department of Commerce

PTO/SB/17 \\\^\\^\\\^\\\\\\\\\\\\\\\\\\\\\\\\\\	COMPLETE IF KNOWN						
(8/96)	Application Num	ber	10/768,975				
FEE TRANSMITTAL	Filing Date		January 30, 2004				
	First Named Inve	ntor	Shohei Chida				
Applicant claims small entity status. See 37 CFR 1.27	Group Art Unit						
	Examiner Name						
Total Amount of Payment (\$) 1,374.00	Attomey Docket Number 3335-00012						
METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)						
1. The Commissioner is hereby authorized to charge indicated fees and credit any over	Larra Freit. C						
payments to:	1 _ *	mall Entity ee Fee					
Deposit Account Number 01.2000	Code (\$) C	ode (\$) Fe	ee Description	Fee Paid			
Account	1051 130 20)51 65 S	Surcharge - late filing fee or oa	th \$130.00			
Deposit Name Andrus, Sceales, Starke & Sawall, LLP		052 25 S	Surcharge - late provisional filir or cover sheet	ng fee			
Charge any additional fee required under	1053 130 10	053 130 N	Non-English specification	\$130.00			
37 CFR 1.16 and 1.17			or filing a request for examina				
The Commissioner is hereby authorized to charge the	1804 920 18	304 920 R	Requesting publication of SIR p Examiner action	prior to			
issue fee and any additional fees required under 37 C.F.R. 1.18 to the above Deposit Account A duplicate	1805 1,840 18		Requesting publication of SIR a Examiner action				
copy hereof is enclosed. 2. X Payment Enclosed:	1251 110 22		Extension for response within f month				
Money Order Other			extension for response within s month				
FEE CALCULATION (fees effective 10/01/96)	1253 950 22	253 475 E	Extension for response within t month	hird			
1. FILING FEE	1254 1,480 22	254 740 E	Extension for response within for month	ourth			
Large Entity Small Entity Fee Fee Fee Fee Description Paid	1255 2010 22		Extension for response within f month	ifth			
Code (\$) Code (\$)			lotice of Appeal filing a brief in support of an ap	annoal -			
1001 770 2001 385 Utility filing fee \$770.00			Request for oral hearing				
1002 340 2002 170 Design filing fee 1003 530 2003 265 Plant filing fee	1451 1,510 14	151 1,510 P	Petition to institute a public use	•			
1004 770 2004 385 Reissue filing fee 1005 160 2005 80 Provisional filing	1452 110 24	152 55 P	proceeding Petition to revive unavoidably				
fee	1453 1,330 24	153 665 P	abandoned application Petition to revive unintentionally abandoned application	у			
SUBTOTAL (1) (\$) 770.00	1501 1,330 25	501 665 U	Jtility issue fee (or reissue)				
2. CLAIMS Extra Fee from Fee Paid			Design issue fee				
below			Plant issue fee Petitions to the Commissioner				
Independent 7 - 3 = 4 x \$86 = \$344	4	307 50 P	Processing fee under				
Claims — — — — — — — — — — — — — — — — — — —	T 1806 180 18		37 CFR 1.17(q) Submission of Information Disc	clos. Stmt.			
Multiple DependentX =			Recording each patent assignr	ment per			
Large Entity Small Entity Fee	1809 770 28	309 385 F	property (times number of p Filing a submission after final re				
Fee Fee Fee Description Code (\$) Code (\$)			(37 CFR 1.29(a))	<u> </u>			
1202 18 2202 9 Claims in excess of 20	1810 770 28	310 385 F	For each additional invention to examined (37 CFR 1.129(b				
excess of 3	1801 770 28	385 R	Request for continued Examina	ation (RCE)			
1203 290 2003 145 Multiple dependent claim 1204 86 2004 43 Reissue independent claims over original	1802 900 1		Request for expedited examinates design application	ation of a			
patent 1205 18 2205 9 Reissue claims in excess of 20 and over original patent	Other fee (spe	ecify)					
SUBTOTAL (2) (\$) 344.00	* Reduced by I	* Reduced by Basic Filing Fee Paid SUBTOTAL (3) \$260.00					
SUBMITTED BY							
	Registration No.	20,323	Telephone	(414) 271-7590			
Signature Daniel D. Fitteling Date 8/16/04							

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No.

AU6 1 8 2004

10/768,975

Applicant Filed

Shohei Chida et al. January 30, 2004

Title

Method and Apparatus for Producing Lightweight Solidified

Material, Pipe-Type Mixer Apparatus, Apparatus for Producing

Solidifying Material, and Gravity Adjusting Apparatus

Docket No. :

3335-00012

SUBMISSION OF MISSING PARTS

Milwaukee, Wisconsin 53202 August 16, 2004

Commissioner for Patents Mail Stop - Missing Parts P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This correspondence is responsive to the Notice to File Missing Parts of Application - Filing Date Granted mailed June 15, 2004. This correspondence is being submitted within two months of the mailing date of the Notice to File Missing Parts of Application. No petition for an extension of time pursuant to 37 C.F.R. §1.136 is deemed necessary.

Responsive to the Notice to File Missing Parts of Application - Filing Date Granted mailed June 15, 2004, we enclose the following documents/items.

- 1. A copy of the Notice of June 15, 2004.
- 2. A declaration in this application executed by the inventor(s). The declaration includes the name(s) of the inventor(s), the title of the invention, and the Appln. No. and filing date of the application. The application that the inventor(s) executed by signing the attached declaration is believed adequately identified.
 - 3. A Preliminary Amendment.
 - 4. An English translation of the application as filed.
 - 5. A check in the amount of \$1,374.00 in payment of the filing fee of

Appln. No. 10/768,975 Missing Parts dated August 12, 2004 Reply to Notice to File Missing Parts of June 15, 2004

\$1,114.00 including 20 claims, 7 of which is/are independent; and \$130.00 for payment of the non-English specification as set forth in 37 C.F.R. §1.17(k); and \$130.00 in payment of the surcharge as set forth in 37 C.F.R. §1.16(e). Kindly charge any additional fees under 37 C.F.R. §\$1.16 or 1.17 incurred in connection with this application to Andrus, Sceales, Starke & Sawall Deposit Account No. 01.2000. A duplicate copy of this submission is attached.

Issuance of a filing receipt and advancement of the application to examination is respectfully requested.

Respectfully submitted,

ANDRUS, SCEALES, STARKE & SAWALL, LLP

Daniel D. Fetterley (Reg. No. 20,323)

100 East Wisconsin Avenue, Suite 1100 Milwaukee, Wisconsin 53202 (414) 271-7590

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Mail Stop - Missing Parts, P.O. Box 1450, Alexandria, VA 22313-1450, on the _______ day of August, 2004.

Daniel D. Fetterley	20,323
Name	Reg. No.
Daniel D. Letterlin	8/16/04
Signature	Date